

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Charles Geveden, Jr.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Charles Geveden, Jr.*, Case No. 11-004;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on March 8, 2011, the Commission alleged facts in an Initiating Order that Charles Geveden, Jr. violated the Executive Branch Code of Ethics at KRS 11A.020(1)(a), (c) and (d), and KRS 11A.045(1);

WHEREAS, Charles Geveden, Jr. was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9), and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Charles Geveden, Jr. indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Charles Geveden, Jr. agree, pursuant to KRS 11A.100, as follows:

1. Charles Geveden, Jr. admits that he committed violations of the Executive Branch Code of Ethics at KRS 11A.020(1)(a), (c) and (d), and KRS 11A.045(1) as stated in Appendix A to the Commission's Initiating Order of March 8, 2011, attached hereto and incorporated by reference herein.

2. Charles Geveden, Jr. agrees to pay the Commission a civil penalty of four thousand dollars (\$4,000.00) concurrently with the execution of this Settlement Agreement.

3. Charles Geveden, Jr. agrees that upon a Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Charles Geveden, Jr.*, Agency Case No. 11-004.

